

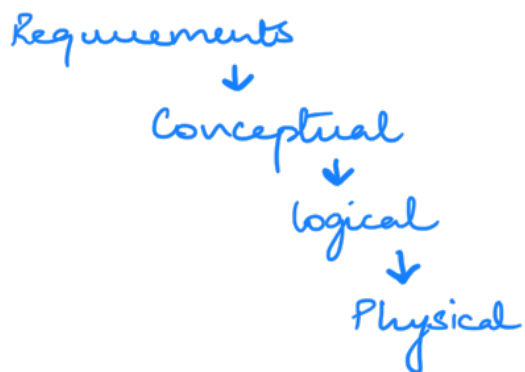
# INTRODUCTION TO DATABASES

## OVERVIEW

purpose - to limit data redundancy

data anomalies - insert  
- update  
- delete

## DESIGN LIFECYCLE



## CONCEPTUAL MODEL

Entity Relationship (ER) Model user for  
Conceptual modelling

Independent of db type

Components -

1. Entity - object / table / entity set
2. Attribute - characteristic of entity
3. Relationship - associat<sup>n</sup> b/w entities

## TYPES OF ATTRIBUTES

- Simple - can't be subdivided *gender*
- Composite - can be subdivided *address*
- Single Valued - one value ONLY *gender*
- Multi Valued - can have 1+ values *degree*
- Derived - computed from other attr. *age*

## TYPES OF RELATIONSHIPS

Identifying - PK of an entity is inherited from related entity

→ *Weak entities*

Non Identifying - Independent PK

→ *Strong entities*

## RELATIONSHIP PARTICIPATION

Connectivity - 1 to 1, 1 to M etc.

Cardinality - min and max occurrences of an entity with related

Degree - No. of entities associated in a rel<sup>n</sup>:

*unary (recursive)*

*binary*

*ternary*

+ more (v. rare)

## ASSOCIATIVE ENTITY

- aka composite / bridge entity
- used to represent  $M:N$  rel<sup>n</sup>ship
- STRONG rel<sup>n</sup>
- Converts  $M:N$  rel<sup>n</sup> into 2 ( $1:M$ )

## RELATIONAL MODEL

Concept of tables (rows x columns)

rel<sup>n</sup> — Head + Body  
rel<sup>n</sup> schema rel<sup>n</sup> instance

RELATION — Table — rel<sup>n</sup> heading  
(column heading)  
fixed set of attr.

— rel<sup>n</sup> body  
(set of rows)



tuples

set of values  $v_1, v_2, \dots, v_n$

rel<sup>n</sup> cardinality = no. of tuples  
rel<sup>n</sup> degree = no. of values (in tuple)

tuples are unordered & unique. values in a tuple are atomic  $\rightarrow$  single valued

rel<sup>n</sup>  $\neq$  table  $\rightarrow$  ordered rows + cols

Functional dependence —

a set of attr. A determines an attr. B  
iff for each A value there is exactly  
one value of B in the rel<sup>n</sup>

$A \longrightarrow B$

A determines B OR B depends on A

## RELATIONAL MODEL KEYS

**Superkey** : Can uniquely identify a key  
*many* one attr.  $\cup$  or set of attr.

$$t_1(\text{superkey}) \neq t_2(\text{superkey})$$

**Candidate Key** : minimal superkey  
*potentially many*

**Primary Key** : chosen candidate  
*ONE*

a PK should be unique

- [ non intelligent
- [ no change over time
- ex - name
- single attr. } *preferable*
- nuberic
- security compliant
- ex - SSN shouldn't be the PK.

**Foreign Key** - PK of a rel<sup>n</sup> placed in another

↓  
or even in the same rel<sup>n</sup>

PK and FK create relationships (logical connections) b/w tables in RDBS.

## DATA INTEGRITY

**Entity Integrity** - PK is ① unique and

(2) not null

NULL  $\neq$  zero

N/A unknown undefined doesn't exist

Referential Integrity - FK should match PK or be left null

of the related rel<sup>n</sup>

Column/Data Integrity - same data type and range for values in a column.

## RELATIONAL ALGEBRA

manipulating table contents using rel<sup>n</sup> operators

SELECT ( $\sigma$ ) - selecting rows  
PROJECT ( $\pi$ ) - column select<sup>n</sup> } unary

JOIN ( $\bowtie$ ) - combine data from 2 or more tables

- types .

only those rows that match {

- ① theta  $\theta \in \{<, \leq, =, >, \geq\}$
- ② equi =
- ③ natural (advanced equi)
- ④ outer (left & right)

Natural Join

- multiply tables

delete rows where PK don't match  
delete repeated column

$$T_1 \bowtie T_2 = \pi_{a_1, a_2, a_3} \left( \sigma_{T_1.PK = T_2.PK} (T_1 \times T_2) \right)$$

## NORMALISATION

process that assigns attributes to entities  
to reduce data redundancy and  
anomalies

based on PK/FK and functional dependencies

Denormalisation: Reverse, to increase speed

prime attributes — part of the key (or whole)

non-prime <sup>key</sup> attr. — not part of the key  
<sub>non-key</sub>

prop(s) a rel<sup>n</sup> should satisfy in ERM:

- entity integrity
- referential integrity
- no M:M rel<sup>n</sup>ships
- each cell contains atomic values

## FUNCTIONAL DEPENDENCY

$A \longrightarrow B$   
determinant                      dependent

value of A determines value of B.

Total dependency — A determines B and  
B determines A

for a rel<sup>n</sup> (A,B)  $\longrightarrow$  (C,D) :

1. full dependency —

$$(A, B) \rightarrow C$$

$$(A, B) \rightarrow (C, D)$$

2. partial dependency -

$$A \rightarrow C$$

↓  
part of PK.

3. transitive dependency

$$\begin{array}{l} (A, B) \rightarrow C \\ C \rightarrow D \end{array} \quad \left. \vphantom{\begin{array}{l} (A, B) \rightarrow C \\ C \rightarrow D \end{array}} \right\} A \rightarrow D$$

↓  
functional dependency in non key attribute

NORMALISED FORMS :

1. Unnormalised form (UNF)

(i) single-named rep<sup>n</sup>

↓  
can't be plural name

(ii) identify repeating groups

2. First Normal Form. (1NF)

(i) PK identified

(ii) no repeating groups

3. Second Normal Form (2NF)

(i) 1 NF

(ii) if composite PK:

no partial dependencies

#### 4. Third Normal Form (3NF)

(i) 2 NF

(iii) No transitive dependencies

#### 5. Attribute Synthesis

(i) eliminate redundant rel<sup>n</sup>(s)  
→ NO duplicat<sup>n</sup>.

Steps — formulate UNF — no PK

UNF to 1NF (remove repeating groups)

1NF to 2NF (remove partial dep.)

2NF to 3NF (remove trans. dep.)

Attribute Synthesis

### LOGICAL MODELLING

#### TERMINOLOGY :

| Conceptual   | Logical          | Physical |
|--------------|------------------|----------|
| Entity       | Rel <sup>n</sup> | Table    |
| Attr. ↓      | Attr.            | Column   |
| Instance     | Tuple            | Row      |
| Identifier   | PK               | P.K      |
| Relationship | —                | —        |
| —            | FK               | FK       |



## TRANSFORMING ER DIAGRAMS TO RELNS)

- key to PK
- rel<sup>n</sup>ships to PK/FK pairs
- map :
  1. strong } entities
  2. weak } entities
  3. binary rel<sup>n</sup>ships
  4. associative entities
  5. unary } rel<sup>n</sup>ships
  6. tertiary }

Surrogate Keys - ONLY in logical model

(refer slides)

### DDL

Data Definition Language (DDL)

- creating db structure
- |        |         |
|--------|---------|
| CREATE | } table |
| ALTER  |         |
| DROP   |         |

No commit or rollback (auto COMMIT)

Data Manipulation Language (DML)

- populating / depopulating db

↓  
INSERT / UPDATE

↓  
DELETE

SELECT

↓  
retrieving data

Data Control Language

- set permission on objects (GRANT)

## Data Types

### Text

CHAR (size) 'apple' = 'apple---'  
VARCHAR2 (size) 'apple' != 'apple--'

### Number

NUMBER (precision, scale)

### Date / Time

DATE upto seconds  
TIMESTAMP [ upto fract<sup>n</sup> of seconds  
includes timezone

## Constraints -

if not possible to add FK, first  
CREATE table then ALTER table

types - Table and Column constraint

ex -

CREATE TABLE tablename (

|                             |                     |                |
|-----------------------------|---------------------|----------------|
| col1                        | NUMBER(6) NOT NULL, | } col const.   |
| col2                        | VARCHAR(2),         |                |
| col3                        | DATE NOT NULL       |                |
| CONSTRAINT const-name       |                     | } table const. |
| PRIMARY KEY (col1)          |                     |                |
| CONSTRAINT const-name       |                     |                |
| FOREIGN KEY (col1)          |                     |                |
| REFERENCES tablename (col1) |                     |                |

);  to change table structure:  
add / remove cols + const.  
ALTER TABLE tablename

```

ADD (
  CONSTRAINT const_name
  FOREIGN KEY (col2)
  REFERENCES tablename (col2)
  ON DELETE CASCADE
);

```

## REFERENTIAL INTEGRITY

| PARENT  |
|---------|
| PK p-id |

| CHILD   |
|---------|
| FK p-id |

actions to ensure referential integrity :

1. RESTRICT — No action

can't delete p-id in parent unless there's no FK in child corresponding to p-id in Parent

2. CASCADE — Delete in all

if p-id deleted in parent, then rows containing p-id as FK in child are automatically deleted.

3. NULLIFY — set Null

if p-id deleted in parent, then cells in child containing p-id as FK are set to NULL

referential integrity constraints are decided in the design phase as per the use case and client req.

→ populating the database

```

INSERT INTO tablename (col1, col2)

```

VALUES (value1, value2)

COMMIT; → to make changes to db permanent

CREATE SEQUENCE seq\_name  
INCREMENT BY 1;

↳ auto increment of numeric PK

INSERT INTO tablename (col1, col2)  
VALUES (seq\_name.nextval, val2)

↓  
initiating a seq OR increment a seq

INSERT INTO tablename2 (col1, col2)  
VALUES (seq\_name.currval, val2)

↓  
getting the current value  
↳ dump the table

DROP TABLE tablename

Drop vs Delete ?

delete data (rows) in the table  
OR  
drop entire table

## SQL - I

SELECT col1, col2, col3  
FROM tablename  
WHERE condition

Search predicates :

1. comparison

=, !=, <>, <=, <, >=, >  
col1 > 5000

2. <sup>range</sup> col BETWEEN 1000 AND 3000  


### 3. Set membership

IN  
col1 IN ('value1', 'value2')

4. pattern match

LIKE (- or %)

WHERE cd1 LIKE 'M%'

WHERE cd2 LIKE 'FT913\_'

5. NULL WHERE col1 IS NULL

Rows to be retrieve — TRUE  
other FALSE & UNKNOWN

→ NULL

## Combining predicates

1. AND (2)  
2. OR (3)  
3. NOT (1)

} instead use ( )

## Arithmetic Operations

SELECT col1, col2/10 FROM tablename

## NVL function

to replace null with a value

```
SELECT col1,  
       NVL (col2, '0'),  
       NVL (col3, 'NA')  
FROM tablename;
```

## Renaming Column

```
SELECT col1, col2 AS new_col2  
FROM tablename;
```

## Sorting query results

```
SELECT col1, col2  
FROM tablename  
ORDER BY col2 DESC
```



by default ASC.

also - "NULLS FIRST" and "NULLS LAST"

## Removing Duplicates

```
SELECT DISTINCT col1  
FROM tablename  
WHERE condition  
ORDER BY col1
```

## SQL JOINS

Types -

1. ON

```
FROM table1 JOIN table2  
ON table1.attr = table2.attr
```

2. USING

```
FROM table1 JOIN table2  
USING (attr)
```

3. NATURAL JOIN

```
FROM table1  
NATURAL JOIN table2
```

→ can only use join in FROM  
Joining multiple tables -

```
SELECT t1.col1, t1.col2, t2.col1  
FROM ((table1 t1 JOIN table2 t2  
      ON t1.col1 = t2.col2)  
      JOIN table3 t3  
      ON t1.col1 = t3.col1))  
ORDER BY t1.col1, t2.col1
```

Using Date :

1. to\_char - for output

```
SELECT to_char (sysdate, 'dd-mon-yyyy')  
FROM dual
```

2. to\_date - for inserting  
(or comparing)

```
INSERT INTO tablename  
VALUES(to_date('01-Mar-1997', 'dd-mon-yyyy'))
```

## TRANSACTION MANAGEMENT

### UPDATE

- change the value of existing data

```
UPDATE tablename  
SET col1 = value1,  
    col2 = value2  
WHERE col3 = value3
```

### DELETE

- remove data from db

DELETE FROM tablename  
WHERE col1 = value1 ;

## TRANSACTION

an action that reads / writes data to database — either  
SELECT  
UPDATE  
INSERT  
or combination these.

transaction properties

### 1. ATOMICITY

Trans<sup>n</sup> must be entirely completed  
ABORTED otherwise

### 2. CONSISTENCY

must take db from one consistent state to another.

### 3. ISOLATION

no interference among concurrent transactions

### 4. DURABILITY

once trans<sup>n</sup> is completed changes are made permanent (to db)  
No undo

## CONCURRENCY

co-ordinating simultaneous execut<sup>n</sup> of tran<sup>n</sup>(s) in a multi-user db.

prob(s) — lost updates



uncommitted data  
inconsistent retrieval

## Concurrency Management

— Locking mechanism:

to overcome prob(s) caused by  
interleaved transact<sup>n</sup>(s)

trans — gain locks prior to exec<sup>n</sup>  
release on comp<sup>n</sup>  
→ controlled by Lock Manager.

Lock — A) Binary  $\begin{cases} \text{locked} \\ \text{unlocked} \end{cases}$   
too restrictive !!

B) Shared + Exclusive

↓  
common lock  
read access

↓  
ONLY if no  
other locks.  
write access

## LOCK GRANULARITY

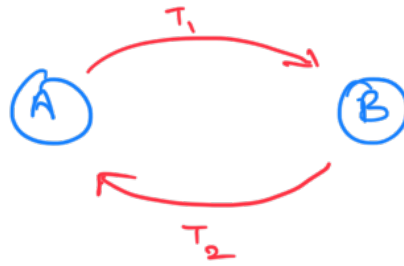
refers to size of units locked:

1. db level
2. table level
3. page level
4. record level
5. attr. level

table  
row  
disk page  
diff. rows  
[ same row  
diff column

## DEADLOCK

$T_1$  has lock on A and req.s lock on B  
 $T_2$  has lock on B and req.s lock on A



Prevention -  
 acquire all locks and release when done  
 → if can not, try later.

Detection & Recovery  
 lock manager monitors wait-for graph & forces 1 trans. to abort

↓  
 victim sel<sup>n</sup>

victim sel<sup>n</sup> algo - avoid trans. that has been running for long and has performed many updates

instead choose that haven't made any changes or involved in more than one deadlock.

Db restart vs recovery.

↓  
 soft crash

↓  
 hard crash

## TRANSACTION LOG

for each SQL statement:

→ trans. comp.

1. record beginning of trans.

2. op<sup>n</sup> type
3. table affected
4. before and after value
5. prev. & next trans.
6. commit

Checkpointing - every 15 min. or 20 trans<sup>n</sup>

[ new trans - temp. halted  
current tr. - suspended  
completed tr. - changes made permanent

→ ex<sup>n</sup> resumed

policies - write through  
immediately updated  
right before commit pt.  
redo + undo list  
deferred write  
db updated only after  
commit pt.  
→ no rollback req'd

refer → slides for backup & recovery  
general info.

[ bup - on site + off site  
recovery - from bup (redo)

## SQL II

Aggregate functions - Count, Max, Min, Sum, Avg

clause { SELECT  
FROM  
WHERE  
GROUP BY

GROUP BY  
HAVING → predicate / search  
ORDER BY ; cond<sup>n</sup>

→ SQL statement

group by — for agg. func<sup>n</sup> (optional)

having — to put cond<sup>n</sup>(s) on group by.

having vs where ?

→ where applied to ALL rows  
but having on groups defined  
by group by.

attributes used in SELECT, HAVING and  
ORDER BY must be included in  
GROUP BY

## SUBQUERIES

Query within a query.

```
SELECT *  
FROM tablename  
WHERE col1 > (SELECT avg (col1)  
FROM tablename);
```

↳ subquery

types of subqueries —

1. single value
2. multiple rows (one column)
3. multiple rows, multiple cols.

Comparison operators for subquery —

1. -, <, <=, >, >= single value

2. IN equality  
ALL, ANY in equality

```
SELECT *  
FROM tablename  
WHERE col1 > ANY (SELECT avg(col1)  
                  FROM tablename  
                  GROUP BY col2)  
ORDER BY col1, col2, col3;
```

Subquery can be (refer wk 10 slides)

NESTED  
CORRELATED  
INLINE (derived table)

## SQL III

### CASE

used in select, to evaluate an attr and output a value based on eval<sup>n</sup>.

```
SELECT  
  col1,  
  case col2  
    when 'val1' then 'value1'  
    when 'val2' then 'value2'  
  end as column2  
  case col3  
    when val1 < 2 then 'value1'  
    when val2 > 2 then 'value2'  
    else 'value3'  
  end as column3  
FROM tablename
```

### VIEWS

virtual table derived from 1 or more tables.

```

CREATE VIEW view_name AS
  SELECT col1, max(col2)
  FROM tablename
  GROUP BY col1

```

## OUTER JOIN

1. Full Outer Join  
info on both table (incomplete)

```

SELECT * FROM
table1 t1 full outer join
table2 t2 on t1.attr = t2.attr;

```

2. Left Outer Join  
all rows on left table and only  
matching rows from right.

```

SELECT * FROM
table1 t1 left outer join
table2 t2 on t1.attr = t2.attr;

```

3. Right Outer Join  
all rows on right table and only  
matching rows from left.

```

SELECT * FROM
table1 t1 right outer join
table2 t2 on t1.attr = t2.attr

```

## SET OPERATORS

1. union all (including duplicates)
2. union
3. intersect
4. minus

## Mongo DB

```

db.tableName.insertOne({})
db.tableName.insertMany([{}, {}])

```

```
db.tableName.find({}).pretty()
db.tableName.updateOne({})
db.tableName.deleteOne({})
db.tableName.deleteMany({})
```